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### manuscript culture

### unacknowledged revolutions

history of information

february 4, 2010

http://courses.ischool.berkeley.edu/i103/s10/SLIDES/Hof110PD-MSCulture.pdf

## 1



#### course corrections?

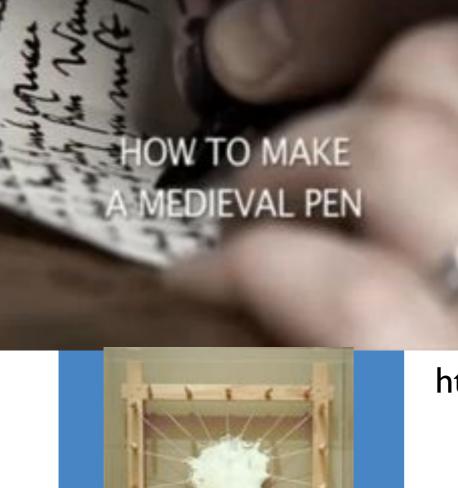
#### take a look

How to make a medieval (quill) pen

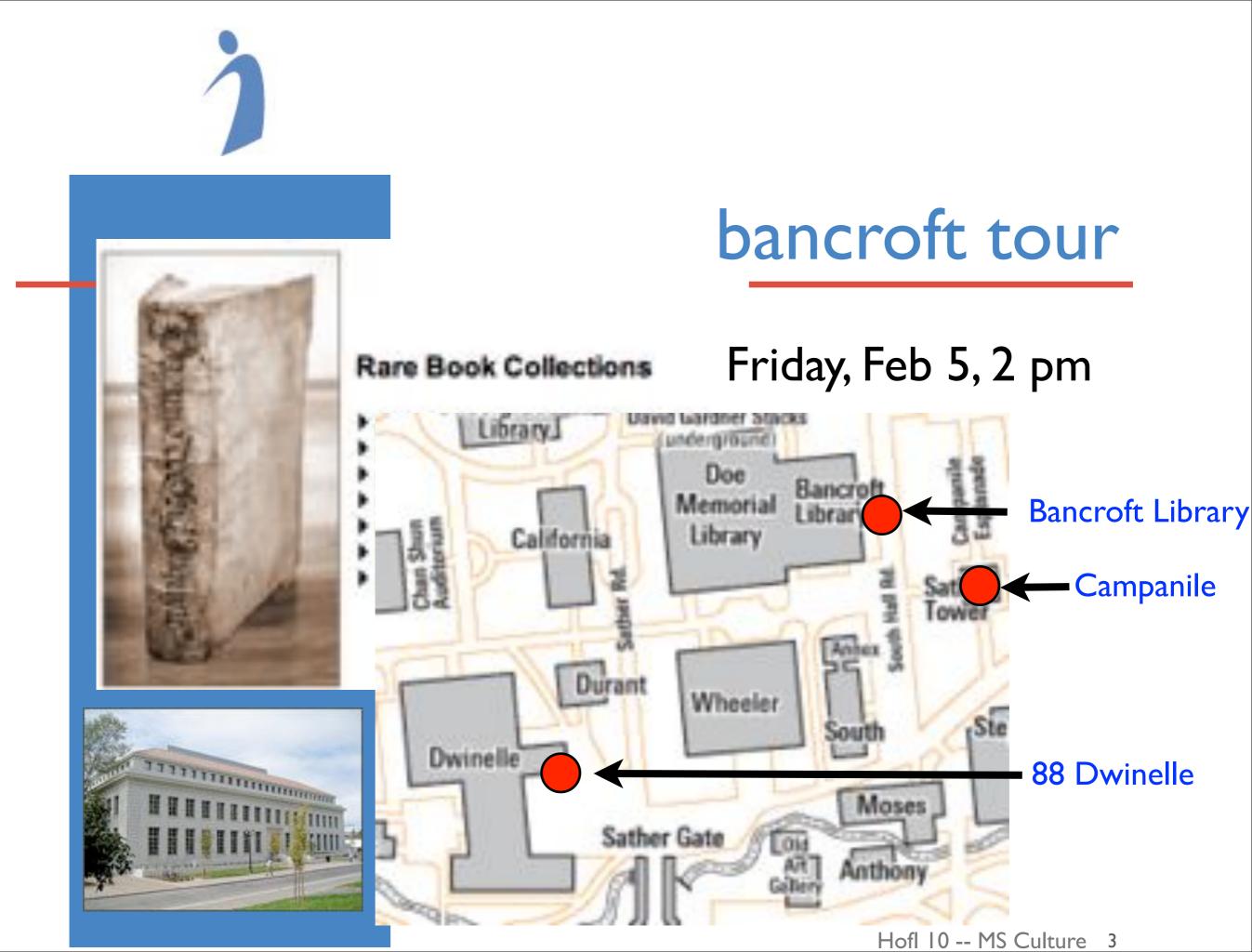
(and paper and ink) http://www.youtube.com/watch?v=HIwyYh97LDk

The Making of a Medieval Book

http://www.getty.edu/art/exhibitions/making/

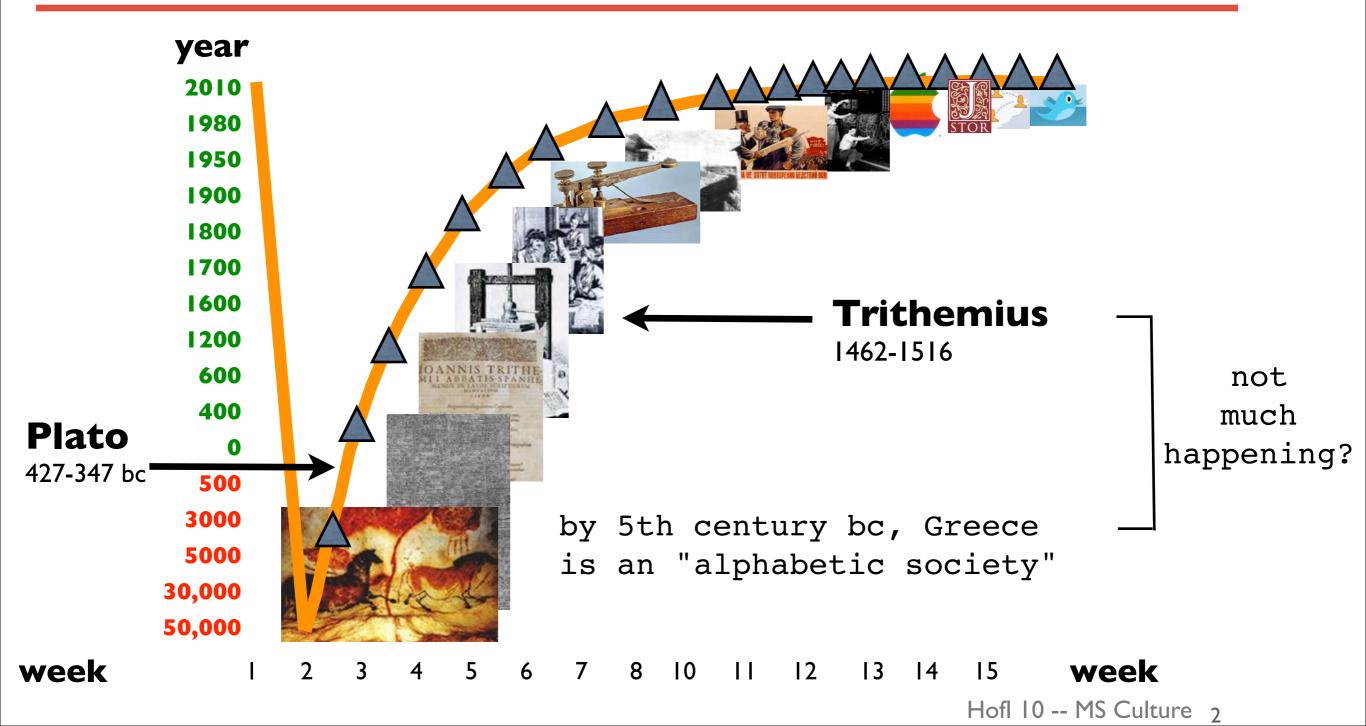








### where are we going?





a word more on determinism eyewitnesses to history the coming of the book revolution & technologies geoff's custard a technological triumph back to the business (and the scroll)



### determining questions

### what happened?

"simple" to "complex"

### what did (or didn't) come before?

oral

### what did (or didn't) follow?

writing; rise of "society," end of oral culture

### what contributed?

alphabets

### what happened elsewhere?

### what's underestimated?



### determined mistakes

### necessary vs sufficient

"Is industrial technology the necessary and sufficient condition for capitalism?" - Heilbroner

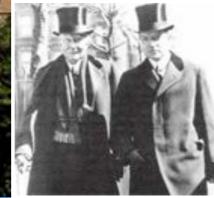
### going soft?

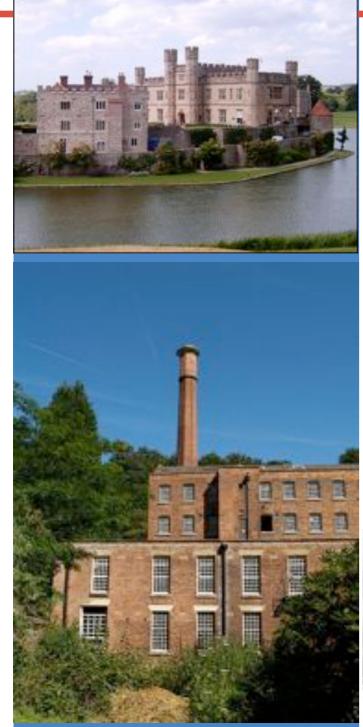
- the handmill produces feudalism?

- couldn't have feudalism without handmill?

- once the handmill was superseded,

feudalism was in trouble?







### bookends

Plato, Phaedrus, ?360bc/1973

### Johannes Trithemius,

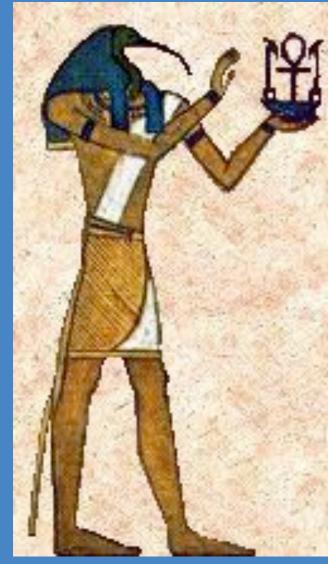
In Praise of Scribes, 1492/1974

what were they doing?



### Phaedrus

what's the setup?

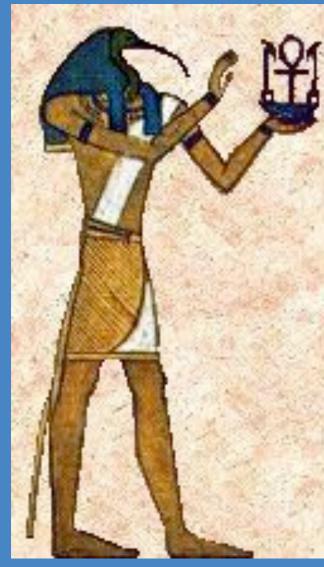


"The discoverer is not the best judge"



#### Phaedrus

what's the setup? Socrates



"The discoverer is not the best judge"



#### Phaedrus

what's the setup? Socrates Phaedrus



"The discoverer is not the best judge"



#### Phaedrus

what's the setup? Socrates Phaedrus Lysias



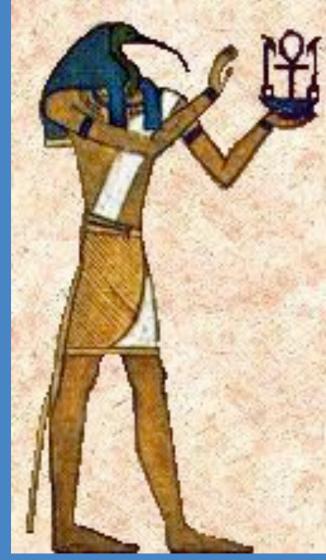
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#### Phaedrus

what's the setup? Socrates Phaedrus Lysias

### The Egyptian story?



"The discoverer is not the best judge"

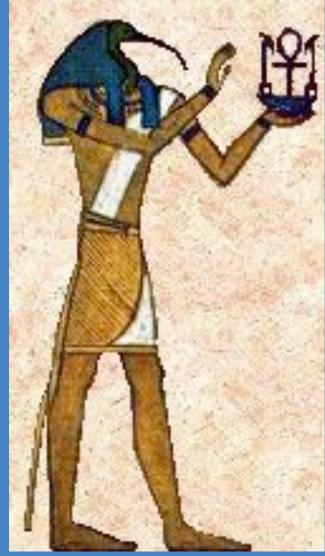


#### Phaedrus

what's the setup? Socrates Phaedrus Lysias

### The Egyptian story?

Thamus



"The discoverer is not the best judge"



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### The Egyptian story?

Thamus Thebes



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### The Egyptian story?

Thamus Thebes Theuth



"The discoverer is not the best judge"



### Phaedrus

what are the issues?



### Phaedrus

what are the issues? speeches



#### Phaedrus

what are the issues? speeches reciting



#### Phaedrus

what are the issues? speeches reciting writing



#### Phaedrus

what are the issues?

speeches

reciting

writing

#### truth



#### Phaedrus

what are the issues? speeches reciting writing **truth** dialectic

# memory and forgetfulness

"Those who acquire [writing] will cease to exercise their memory and become forgetful; they will rely on writing to bring things to their remembrance by external signs instead of on their own internal resources."



"Your pupils will have the reputation for [wisdom] without the reality; they will receive a quantity of information without proper instruction."

"If you ask [documents] what they mean ... they simply return the same answer over and over again ... [Writing] circulates equally among those who understand the subject and those who have no business with it; a writing cannot distinguish between suitable and unsuitable readers"

"the kind of recitation that aims merely at creating belief."



### truth

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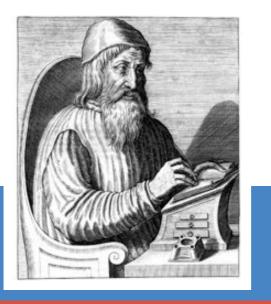
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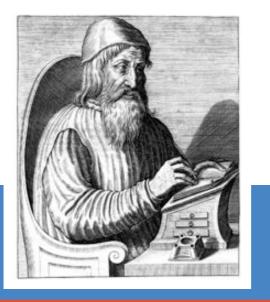
### **coming up:** science



#### reading between the lines

De Laude Scriptorum, 1492

what's the bee in the abbot's bonnet?



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#### scribal practice

"The dedicated and sincere scribe ... will not receive less than the preacher"



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"There are some who reproach lovers of books for having ... too many"



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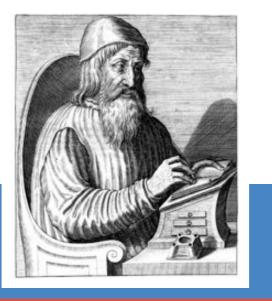
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#### VS

contemplation, manual labor, preaching

Hofl 10 -- MS Culture 13

### 1462-1516

Abbot of St Martin's, Sponheim, 1483-1505

### bibliophile

1483: 48 books in the library

1505: 2,000 books

intensive to extensive

### troubled reign

Exhortationes ad Monachos, 1486

De Laude Scriptorum, 1492

Hofl 10 -- MS Culture 14



"I readily admit my boundless and unceasing love of studies and books. Neither could ever satisfy my desire to know everything which can be known in this world. It is my greatest pleasure to own and to know all books I ever saw or which I knew to have appeared in print . ... To my regret ... money was always lacking ... for the satisfaction of my passion for book".

"The collector of books should beware that his inclination and liking do not become ends in themselves."

### Trithemius

### 1462-1516

Abbot of St Martin's, Sponheim, 1483-1505

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1483: 48 books in the library

1505: 2,000 books

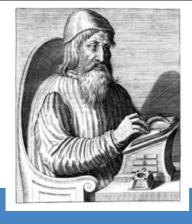
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Hofl 10 -- MS Culture 14



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### lasting

### Trithemius & the press

#### De Laude Scriptorum

"For all his dislike of mechanical reproduction, 'Trithemius] proved particularly deft at exploiting the printing press. ... He had his book... published in Mainz by Peter von Friedberg, his favourite printer, and ... set the work not in Gothic type normally used in Germany, but in an innovative and attractive Roman font".

Grafton & Williams, Christianity and the Transformation of the Book, 2006.

#### Catologus Scriptorum Ecclesiastorum, 1494

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### not just the press

"brothers, concentrate now all your fervor on the sacred books, for the salvation of your souls and **the order**"

### the university

"In the 700 years between the Fall of Rome and the 12th century, it was the monasteries .... which enjoyed an almost complete monopoly of book production and so of book culture.... from the end of the 12th century a profound transformation took place ... reflected in the founding of the universities and the development of learning". Febvre & Martin, The Coming of the Book, 1984

### humanism

Hofl 10 -- MS Culture 17

Monks "are so detested that it is considered bad luck if one crosses your path"

Erasmus, In Praise of Folly, 1511









### survival



Products	About Lasar Monka	Our Programs	Monastery Links	Customer 0
E-Mail		Password	1	Login

#### Our prayers and blessings go out to the families of those affected by the



As Osterclas monks, we have a 100-year tradition of community service and autorach.



### survival



Products	About LasarMonks	Our Programs	Monastery Links	Customer C
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service and autreach.



## something happened

### ... quickly

"Plato ... had such a love of books that he bought three books .. at the price of 10,000 *denarii*. Aristotle, no less famous among philosophers, acquired a few books for three Attic talents which amounts to 72,000 *nummii*."

### [up ahead: libraries]







# but what do we mean by book?

### what's in the packages?

"Printed books evolved into betterdesigned packages of information."

Paul Grendel, "Printing and Censorship" in Cambridge History of Renaissance Philosophy

"History of books...the social and cultural history of communication by print." Robert Darnton,

"What is the History of Books," 1990

Hofl 10 -- MS Culture 20

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## but what do we mean by book?

### what's in the packages?

[B]efore you begin, dear heart, just let me see what it is you are holding in your left hand under your cloak."

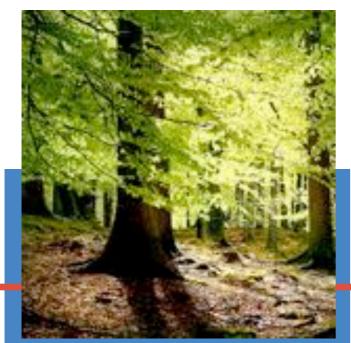
### codex

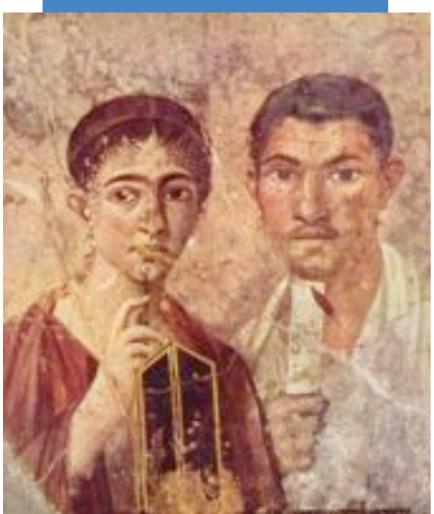
Codex, later spelling of caudex trunk of tree, wooden tablet, book, code of laws

... collection of rules

... software code

book .. Generally thought to be etymologically connected with the name of the beech-tree





Pompeii, c 79 ce

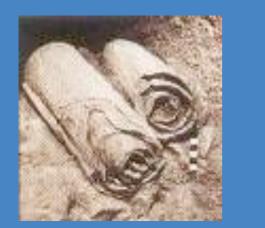


### techno-sequence

tablet

scroll

codex







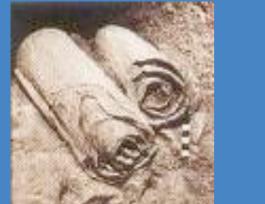
### techno-sequence

tablet

scroll

codex







соллавая у исколого салонеха, правляется и колособлавания с правляется и колособлавания с правляется и колособлавания правляется и колособлавания правляется и колособлавания соллавая и колособлавания и колособлавания и правляется соллавания и колособлавания и колособлавания



## missing revolution?

### scroll to codex

"the revolution between the second and fourth centuries that changed the very structure of the book by substituting the codex for the roll"

> Roger Chartier, Forms and Meaning, 1995

"Gutenberg did not so much start an information revolution as introduce a second technology for the creation of the familiar codex."

> Jessica Brantley, "The Prehistory of the Book," 2007





### technologies

stone clay wood wax parchment / vellum papyrus paper palm silk ink pens

He who saw the deep ... ...set all his labours on a tablet of stone

See the tablet-box of cedar, Release its clasp of bronze Lift the lid of its secret, Pick up the tablet of lapis lazuli and read out The travails of Gilgamesh ...



## technological triumph?

#### western europe

200 ce, codex gaining, scroll losing

**300** ce codex achieves same level of usage as the roll for Greek literary texts

500 ce, codex dominating

"The roll continued to serve for ... writing of the sort that goes into files or archives, but the codex took over in literature, scientific studies, technical manuals ... the sort that go onto library shelves".

Casson, Libraries in the Ancient World, 2000

#### why?

Hofl 10 -- MS Culture 26

Homerus ... Vergilius ... Cicero ... Titus Livy ... in membranus ...quam brevis immensum cepit membrana Martial (c38-103) *Epigrams* 







random access



random access

one handed



random access

one handed

two-sided



random access

one handed

two-sided

pagination



random access

one handed

two-sided

pagination

marginalia



random access

one handed

two-sided

pagination

marginalia

footnotes



random access

one handed

two-sided

pagination

marginalia

footnotes

indexes



random access

one handed

two-sided

pagination

marginalia

footnotes

indexes

"indexical"



random access

one handed

two-sided

pagination

marginalia

footnotes

indexes

#### "indexical"

["pies"]





random access

one handed

two-sided

pagination

marginalia

footnotes

indexes

#### "indexical"

["pies"]



### underestimated?

handy

pages

collection

### divisions

(incipits, explicits, colophons)

### random access?

(sillyboi)

### doesn't crack?

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> Edwin Smith Papyrus c. 1600 bce NY Academy of Medicine

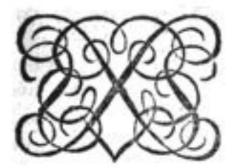
### NOUVEAU JOURNAL DES SCAVANS.

Dreffé à ROTTERDAM,

Par le Sieur C \* \* \* \*

Mois de

JANVIER & FEVRIER 1694.



#### A ROTTERDAM, Chez PIERRE VANDER SLAART. MDCXCIV.

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### the battle goes on?

spirit management

#### (1) Nanh. 1, PHILOSOPHICAL TRANSACTIONS.

Musley, Marth 6. 1687.

#### The Contents,

An Introdullion to this Troll. An Accompt of the Improvement of Oprick Glaffes at Rome. 6/ she Oblive anise made in England, of a Specie our of the Brits of the Planet Jupiters. Of the mation of the lass Corner pradilled, The Heads of many New Objectations and Experimence, in order to an Experimental Hilbory of Colds. asystics with few Thermometrical Enforce for and Experiment. A Relation of a very add Monfrone Call. Of a perubur Lead-Ore in Germany, very nitful for Effort. Of an Humgarian Bo-lea, of the joint effoil with the Bolim Armetica. Of the New Amenicus Whats filing about the Bermudas. A Navative surroung the factors of the Pendoham-watches at Son for the Longitudes ; and ele Grant of a Patent elevation. A Catalogue of the Plutajophical Banky published Woofficur de Freman, Compilieur ar Tholoufe, lacely draft.

#### The Introduction,



WHentas there is nothing more precifiery for promoting the improvement of Philolophical Matters, than the communicating to faith, as apply their Studies and Eislearours that way, fach things as are differered or put in profile by others a it is therefore thought fit to employ the Profil, as the molt proper way to grandiz thole, whole engagement in fack Studies , and delight in the advancement of Learning and profitable Differences, doch-excide them to the knowledge of what this Kingdom, or other parts of the World, do, from time to time, allord, as well

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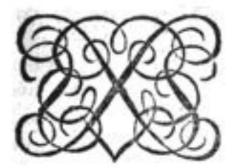
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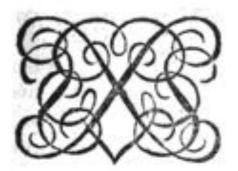
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spirit management

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JUILUI



### know when to fold 'em

"the move from scroll to codex was accompanied by a move from papyrus to parchment"

> **papyrus** processed plant

### parchment

animal skin



)

### kinds of determinism

### geographical determinism?

parchment at Pergamum papyrus at Alexandria palm in India (*pattachitra*) silk in China

"the parchment alone in a fine Bible, even allowing for the shorthand script of the day, represented a flock of perhaps three hundred sheep" John North, God's Clockmaker, 2005 Hofl 10 -- MS Culture 31





Moscow

### technological diffusion

### paper

200 bc? - 105 ad, China New Serai Turfan Hami Ts'ai Lun, Emperor Ho-ti, Hunan Peking Samarkand bark, rags, bamboo Bukhara Dunhuang Kashgar Khiva Lanzhou Damascus Merv Bactria Khotan Chang'an erusalem diffusion: Babylon 300 ad, **Korea** (Koguryo dynasty) 5th century, 'domestic' uses, India 610, **Japan** 750, Samarkand ... 795, **Baghdad** 9th century, Byzantium Hofl 10 -- MS Culture 32



### slow on the uptake

?11th century Spain

12th century Genoa, Nuremberg

### hesitation

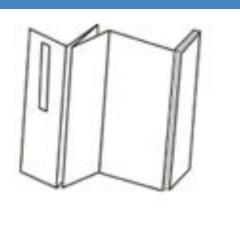
1145, Roger of **Sicily** ordered all charters on paper to be copied to parchment then destroyed

1248, paper accepted for us by the notaries of Languedoc (**France**)

"The written word on parchment will last a thousand years. The printed word is on paper. How long will it last? The most you can expect of a book of paper to survive is two hundred years. Only time will tell."



### paper codex-a technological triumph?





Christian codex, 2d century

Islamic codex, 8th century

Hebrew codex, 9th century

Chinese sutra fold, 10th century





bureaucracy

religion

education

business

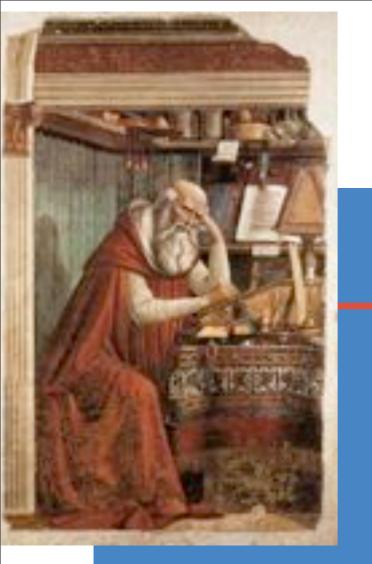
law



## technological triumph?

"In late antiquity, all authority was founded on Scripture ...: and the highest authority, the authority of the church, was represented by the codex." Cavallo, "Du Volumen au Codex," 1997

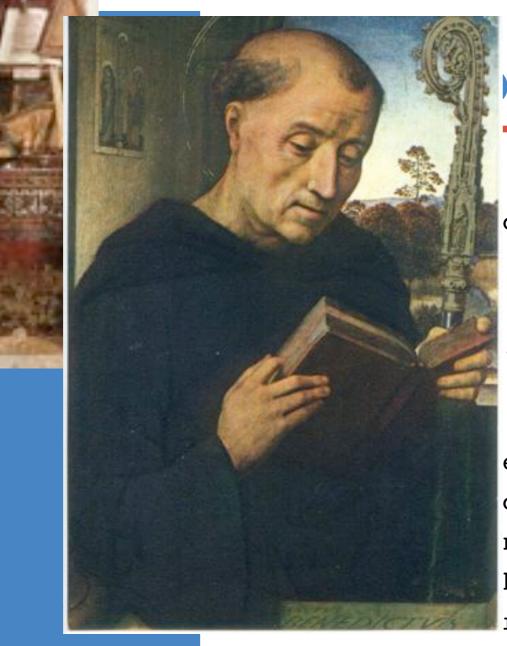
"[P]agans ... continuted to regard it as a culturally inferior form. In contrast, the great majority of Christian texts were already in the form of codices. ... no Jewish codices have survived from before the tenth century in the Middle East and the eleventh century in Europe." Peter Stallybrass, "Books and Scrolls," 2002



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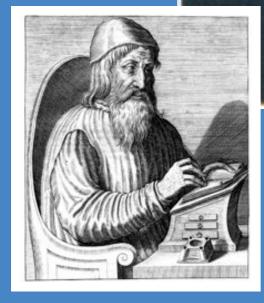
Peter Stallybrass, "Books and Scrolls," 2002

L authority was and the highest the church, was by the codex." au Codex," 1997

regard it as a h contrast, the cian texts were codices. ... no from before the Le East and the ary in Europe." Scrolls," 2002

Peter

Peter



Peter



### not just tech

### changing readership

"The late medieval book differs more from its early medieval predecessors than it does from the printed book."

"The expectation of readers was changed, and this was reflected in changes in the physical appearance of books. A writer organized his work for publication, and if he did not ... then a scribe would .. The production of books became more

- sophisticated ....."
- --Parkes, "Influence" 1976
  - Hofl 10 -- MS Culture 37



"I can hardly express the anger I feel ... this work is worse than that of Ovid."

Treatise against The Romance of the Rose,"

# publishing before print

### Jean Gerson (1363-1429)

### anti-scholastic "popular" writing

"Gerson... benefited from ... distribution circles ... the European network of Carthusian houses ... readers began to collect his works on a massive scale ... reading networks intersected and shared copies of works ... [monasteries] were actively involved in the lending and distribution of manuscripts to nonmonastic readers ...Carthusian libraries became lending libraries to the literate public."

Daniel Hobbins, Authorship and Publicity before Print, 2009



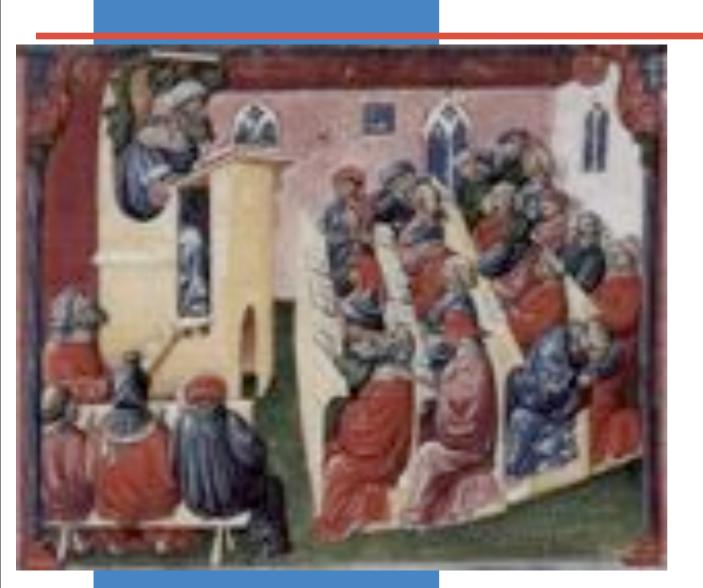


And a second discovereign for any transmission of the second seco



"All sorts of navigation aids were produced for preachers and university teachers."

Peter Stallybrass, "Books and Scrolls," 2002





# pre-prints

### still with the codex

gloss

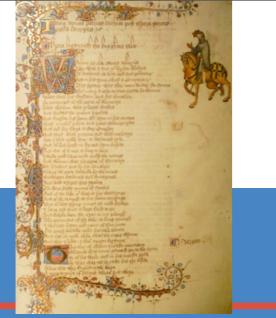
running titles subdivisions: books, chapters analytical tables of contents chapter headings

cross references

alphabetical order

page numbers

indexes



Canterbury Tales, c. 1405



# unilluminating





### meanwhile ...

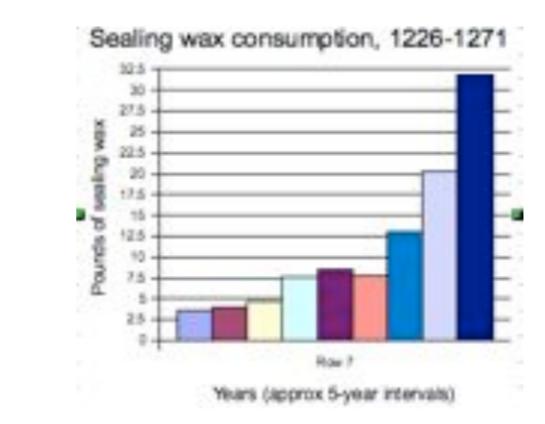
### down to business

"The oldest writings to survive to our time were inscribed five thousand years ago by temple bureaucrats recording economic transactions ... crops, animals, manufactured goods" Lerner, The Story of Libraries, 1998

"In the twelfth century... magnates used documents occasionally ... In the thirteenth .. laymen began to convey property to each other by charter; in the latter half ... this practice extended below the gentry class to some peasants. Clanchy, From Memory to Written Record, 1993



### and bureaucrats







"If you ask [documents] what they mean ... they simply return the same answer over and over again ..."

#### Socrates

"We don't accept the evidence of monks against bishops, why should we accept that of a sheepskin?"



# an information age?

### preparing the ground

Domesday and sprack has sold investigation corrected for any heavy plant has any plant and cadastras dates names a tende surveyed all and a second mean and any and a second of the dependence and spelling written evidence us of the said State of Hinstory Hims present Bottweeter & the A and Brouge & Walter file Definicus of the Stichmans one Inite in the poor Tops of shared and neglit times of Sand with the appro the Con of Hereyers Wheterspon a please Connections Jam authenticity est total that the approximation of early and could apply the approximation over all the anot who has upor of line his card Charles actions rolin in the said Charles take of the Bit of the approach from and these he hash comford and quinted think from from ruch to some the prince of the second the source of the second source of the and the source that he will to mant to the operand blooder and her string the appressed to make it with the apportunity again fail it is for our day for the action before the provide present range and them a centralization Townshippur and agrowment the and Charles both good to the said storage our hundre pourier, money operation has be

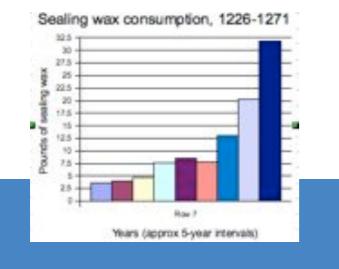
# a linear model?

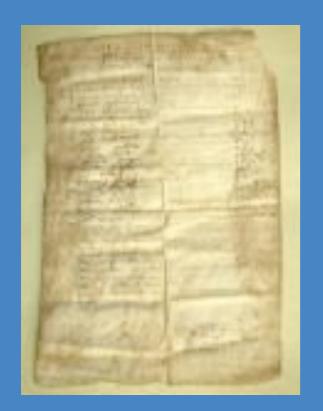
### reversible trends

"Up to the eleventh century, western Europe could have returned to an essentially oral civilization. But by 1100 the die was cast". --Stock

### the return of the roll

"the reason why medieval England ... kept its records predominantly in rolls remains a mystery". --Clanchy









### unintended consequences

#### quo warrento

"No document coming from such centres of proved fabrication as Westminster, Evesham, Winchester cathedral, Chertsey and Malmesbury should be accepted at its face value without close examination.

"Ancient monasteries like Chertsey had traditionally forged charters. Now that the king was keeping copies ... abbots ensured that their forged documents were reinforced by inspection in the Chancery and enrollment among the royal records. The Chancery rolls, which were intended to prevent fraud, thus became a means of making forgeries official." --Clancy, From Memory to Written Record



### detective work

### back to the monastery

"the Reformation era ... bringing to light thousands of documents .. hitherto inaccessible and unknown. ... France pioneered in this new historical research ... Mabillon .... Montfaucon."

James Thompson, "The Age of Mabillon," 1942



# science of diplomatics

### Jean Mabillon (1632-1707)

De Re Diplomatica, 1681

opposing

#### Paperbroche

Acta Sanctorum 1675

"The Benedictine order ... regarded Paperbroche's work as ... an attack on their property rights."

--Thompson





# beyond the page

"Mabillon frankly admitted that the authenticity of a charter could not be proved by any metaphysical or a priori argument; a decision could be reached only after the expert had examined a whole series of different indications -- the material used, the seal, the signature, the grammar and orthography, the modes of address, the plausibility of the dating, the intrinsic consistency of the whole document."

David Knowles







# a guide to forgery

#### the charter of La Tour d'Auvergne

"skilfully forged [using Mabillon's principles] by a sublibrarian who ended his days in the Bastille"

--David Knowles

"some of the advantages which manuscript publication gave over print in other periods--immediate appearance, relative freedom from censorship" Henry Woudhuysen, Sir Philip Sydney, 1966

# manuscript beyond print

### records and single documents

accounting

scribal publishing

note taking

personal communication

"some of the advantages which manuscript publication gave over print in other periods--immediate appearance, relative freedom from censorship" Henry Woudhuysen, Sir Philip Sydney, 1966

# manuscript beyond print

### records and single documents

accounting

scribal publishing

note taking

personal communication





# print beyond manuscript

### and so to Gutenberg

#### 9 Feb: Print culture

### Eisenstein (& McLuhan)

Required reading:

 Eisenstein, Elizabeth. 1983. "Some Features of Print Culture," pp 42-91 in Elizabeth Eisenstein, The Printing Revolution in Early Modern Europe. Cambridge, UK: Cambridge University Press.

Optional reading:

 McLuhan, Marshall. 1962. "The Galaxy Reconfigured or the Plight of Mass Man in an Individualist Society," pp 265-279 in The Gutenberg Galaxy: the making of typographic man. Toronto, Canada: University of Toronto press.

Additional material:

 Watch: Fry, Stephen. Stephen Fry and the Gutenberg Press from the series "The Medieval Season: take a trip inside the medieval mind" on BBC4. (There are 6 parts which will take about 60 minutes total to watch.)

# )

### assignment

In another article, Elizabeth Eisenstein wrote "my concern has been to understand the difference between print and manuscript so as to comprehend the nature of the fifteenth-century change."

From what you have read and what we have discussed in class, do you think that Elizabeth Eisenstein's chapter in the reader underestimates the achievements of "manuscript culture"?